

# Lung Cancer Research

## Oncology for Scientists II (RPN 532)

Santosh Patnaik, MD, PhD  
Assistant Professor  
Department of Thoracic Surgery  
Roswell Park Cancer Institute

# Almost a man-made disease

First case of lung cancer 1751

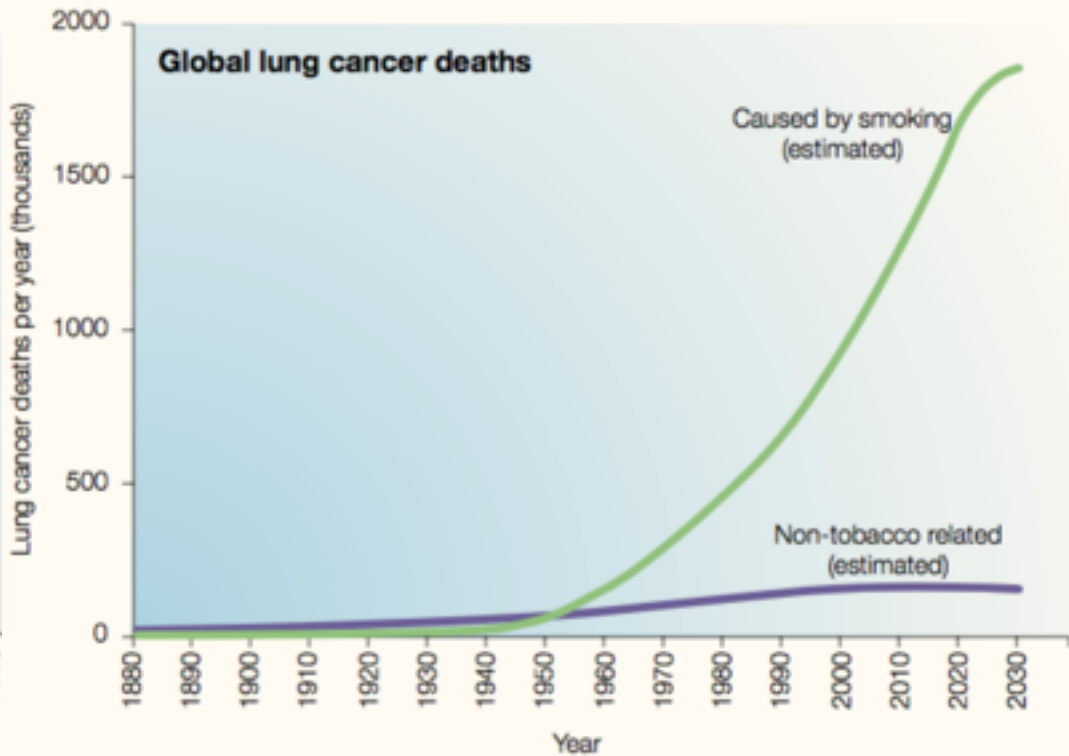
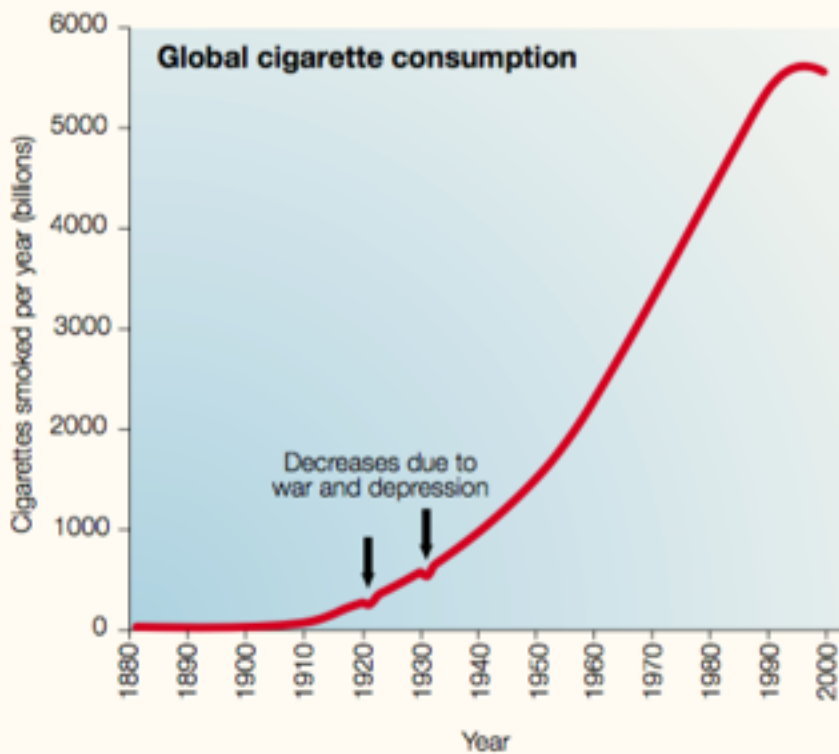
*World-wide* lung cancer cases *up to* 1898 140

World-wide lung cancer cases up to 1912 374

World-wide lung cancer cases *in* 2012 1800000



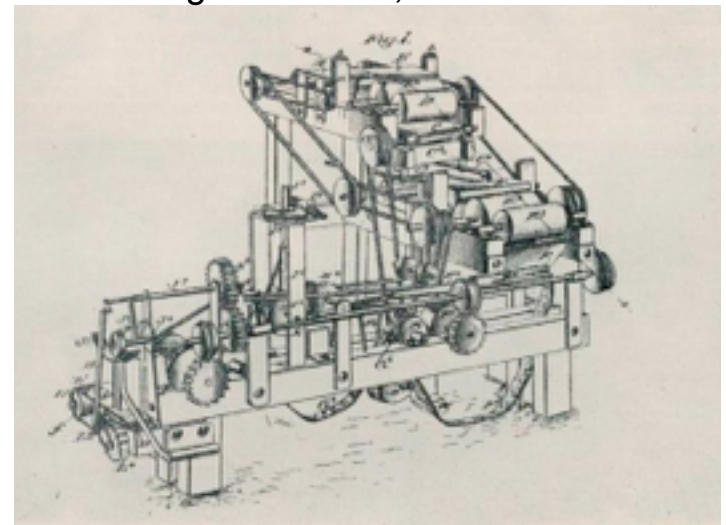
Mayan god smoking (ca. 1c BC)



Bonsack cigarette roller, 1880 invention



- 1612 China
- 1723 Berlin
- 1920s 14 US states



■ TOBACCO COMPANY GROSS REVENUE: 2012 (2011 DATA FOR CNTC)

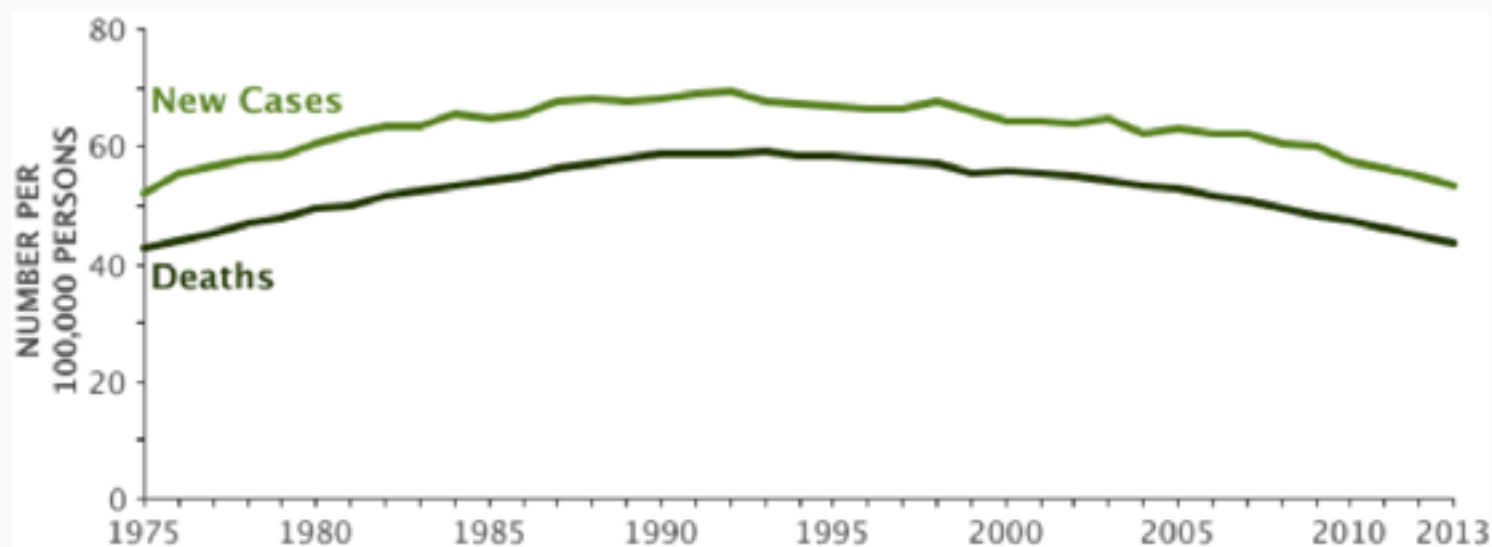
■ COUNTRY GDP: 2013



Source: tobaccoatlas.org

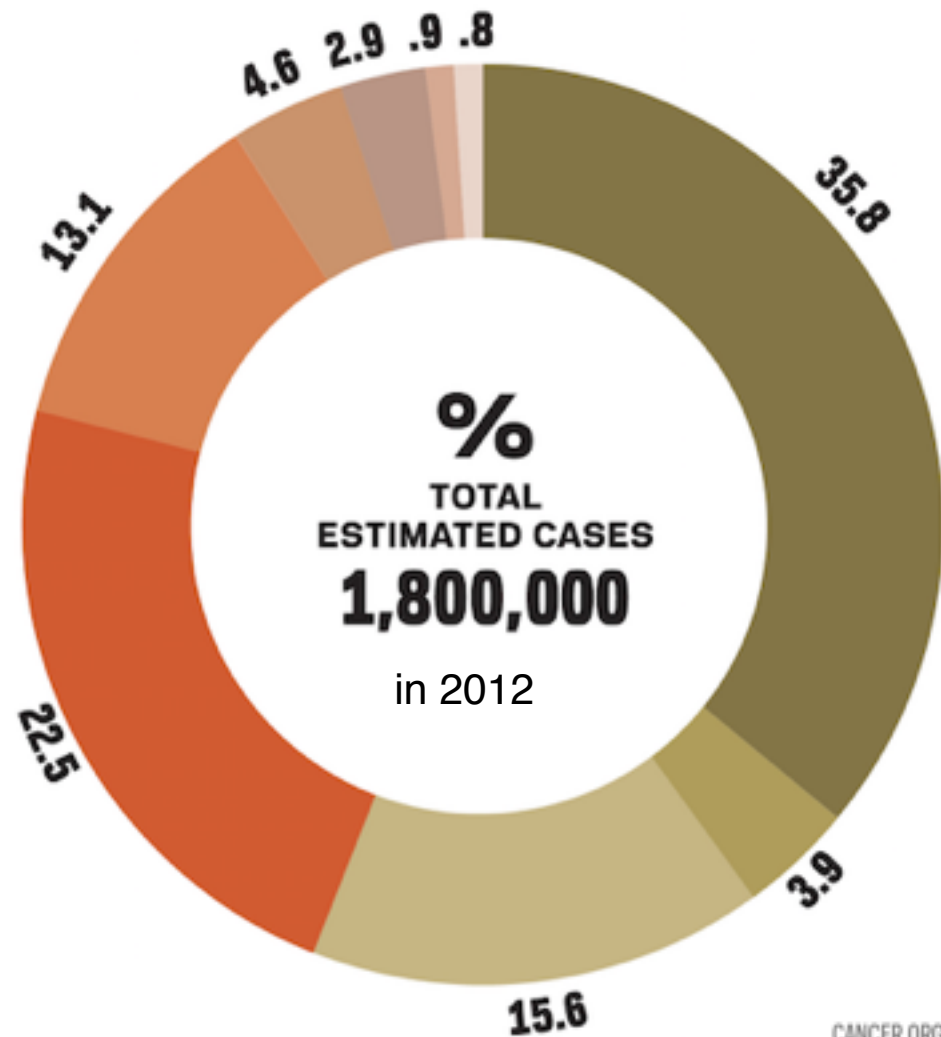
## New Cases, Deaths and 5-Year Relative Survival

[View Data Table](#)



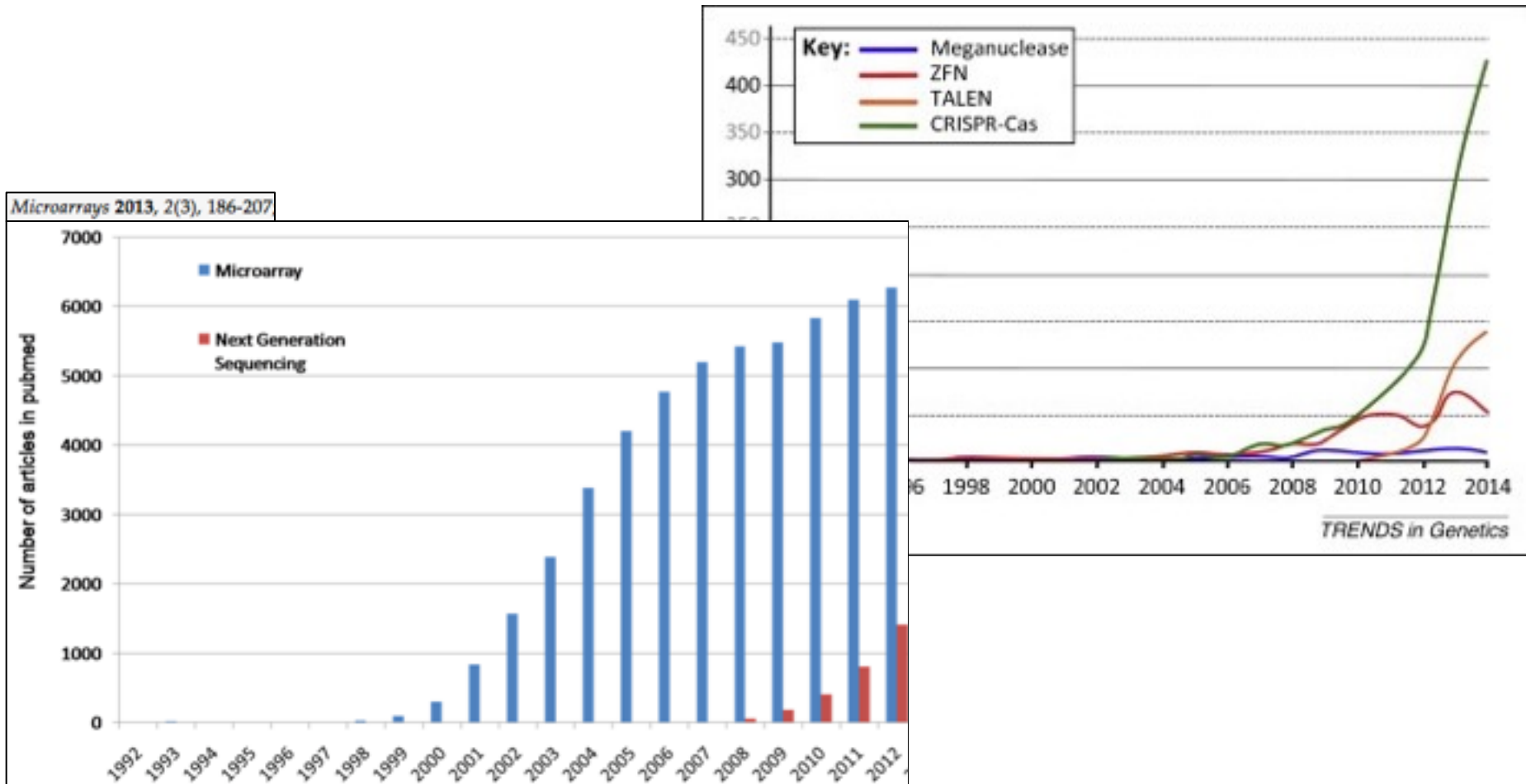
Year	1975	1980	1985	1990	1995	2000	2004	2008
5-Year Relative Survival	11.4%	12.5%	13.1%	13.3%	14.5%	15.7%	16.8%	18.7%

SEER 9 Incidence & U.S. Mortality 1975–2013, All Races, Both Sexes. Rates are Age-Adjusted.



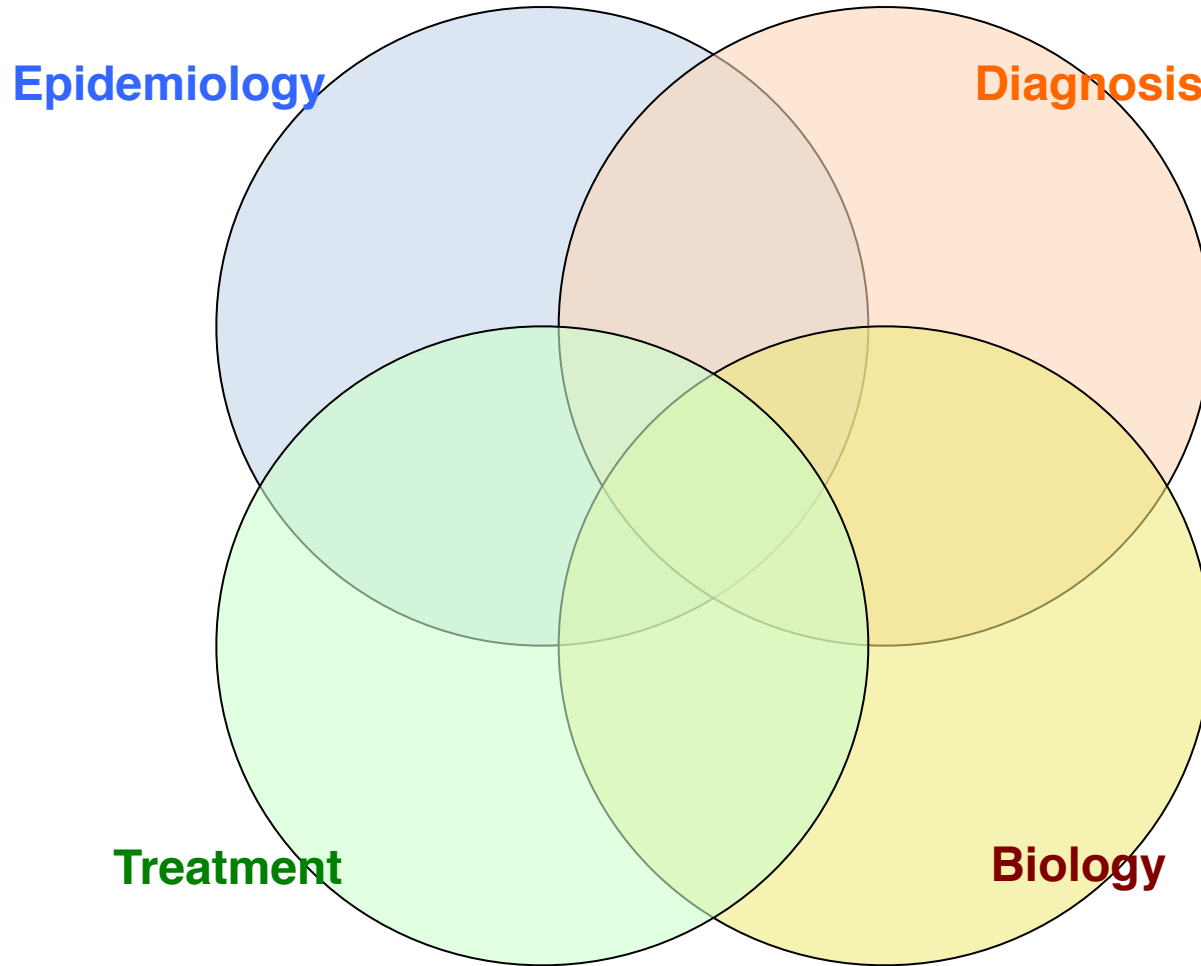
# Almost a man-made disease

Ephemeral value of research



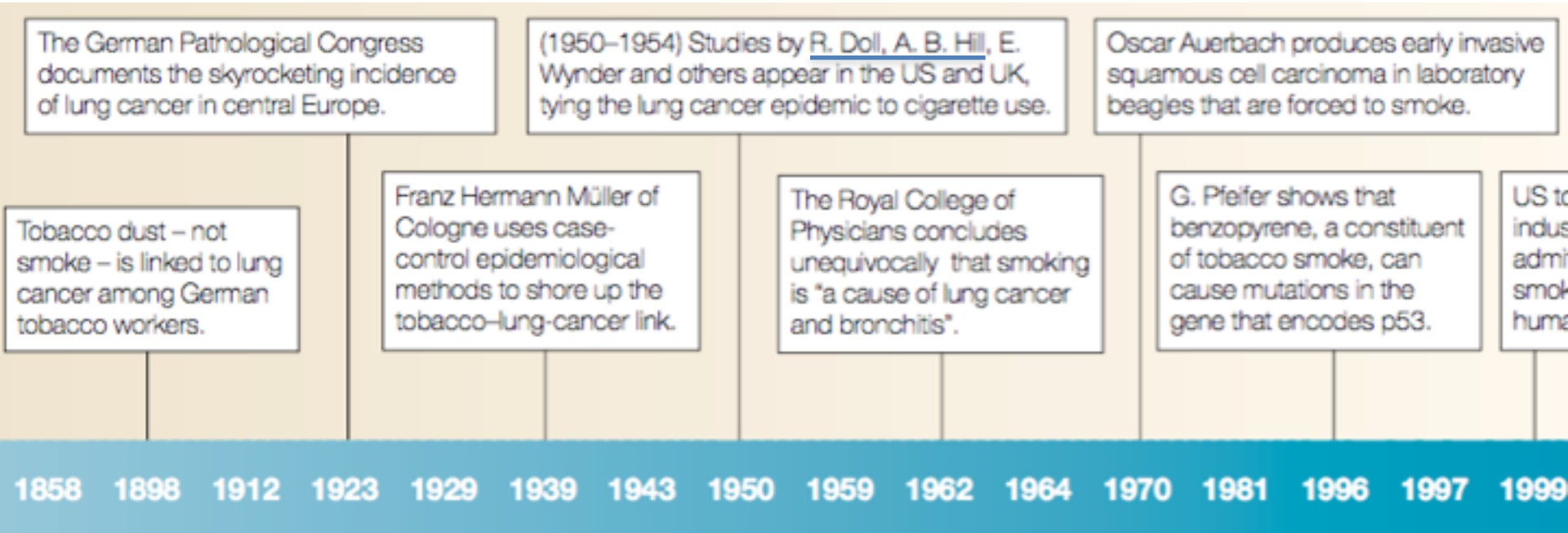


# Facets of research in lung cancer



# Epidemiology: Smoking & cancer

British Doctors' Study 1951–2001  
80% UK doctors smoked in 50s



# Epidemiology: Asbestos and mesothelioma



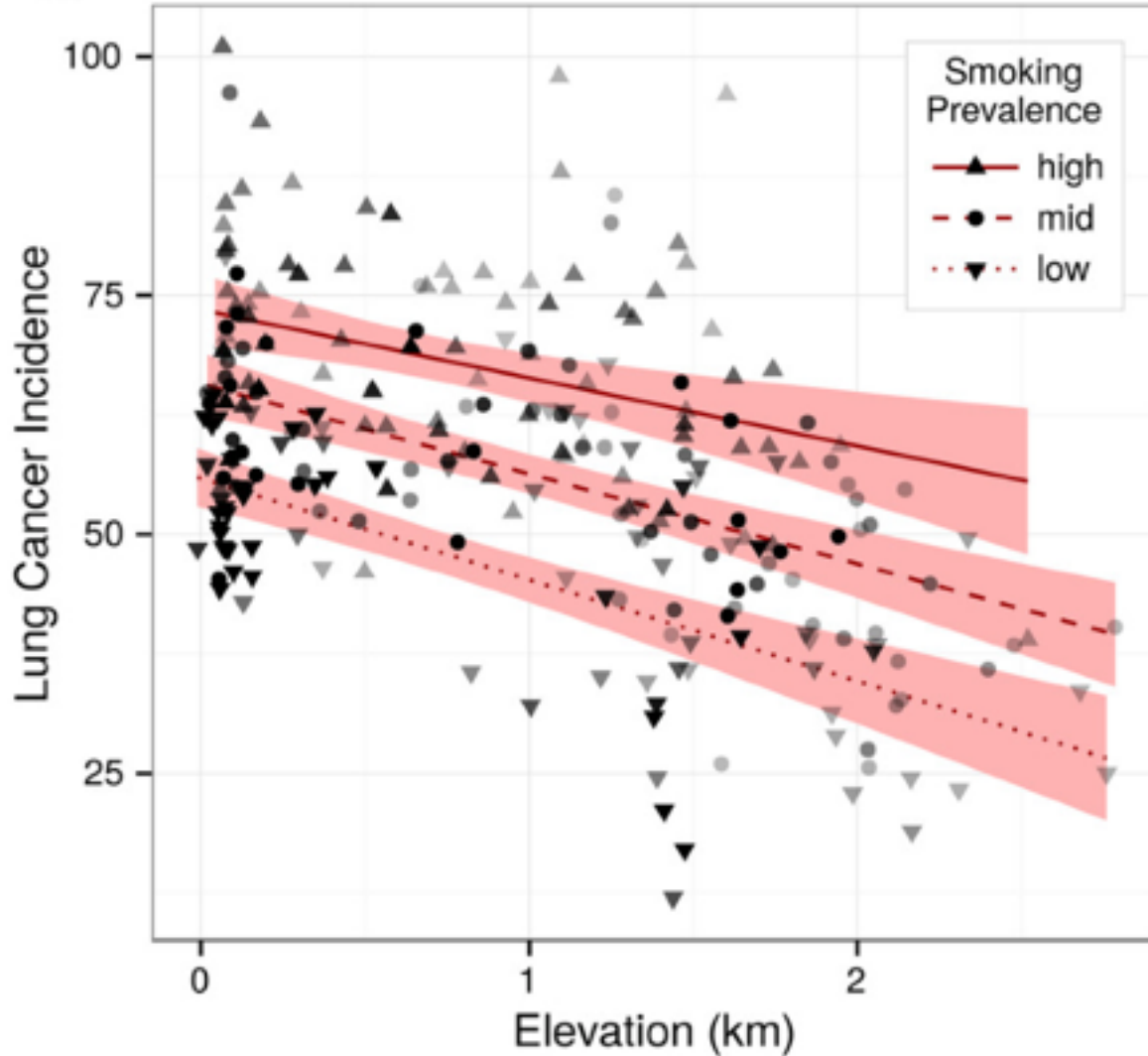
80% of mesothelioma (pleural cancer) by asbestos

2013: 50,000 mesothelioma cases world-wide

2002: US asbestos production ends

# Epidemiology: Altitude & lung cancer

PeerJ 3:e705; DOI 10.7717/peerj.705



Not because of UV, radon, etc.

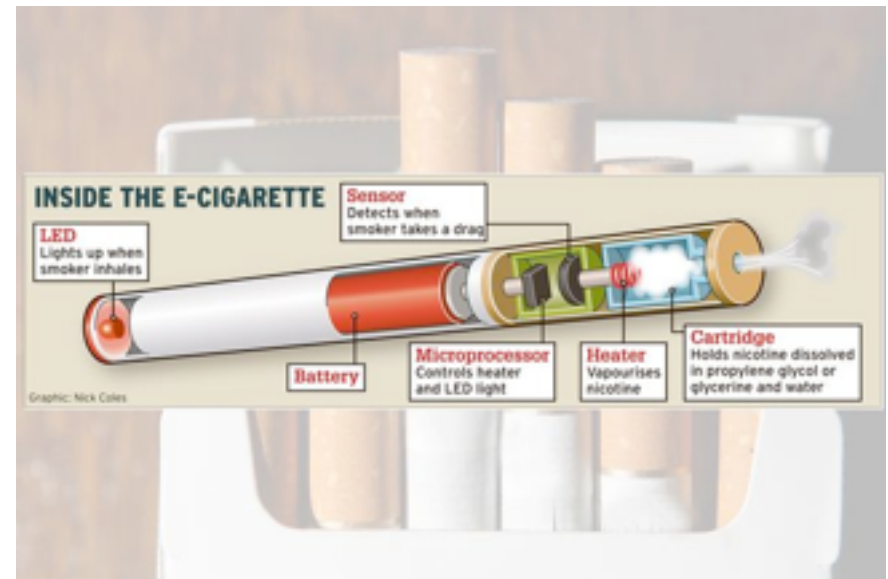
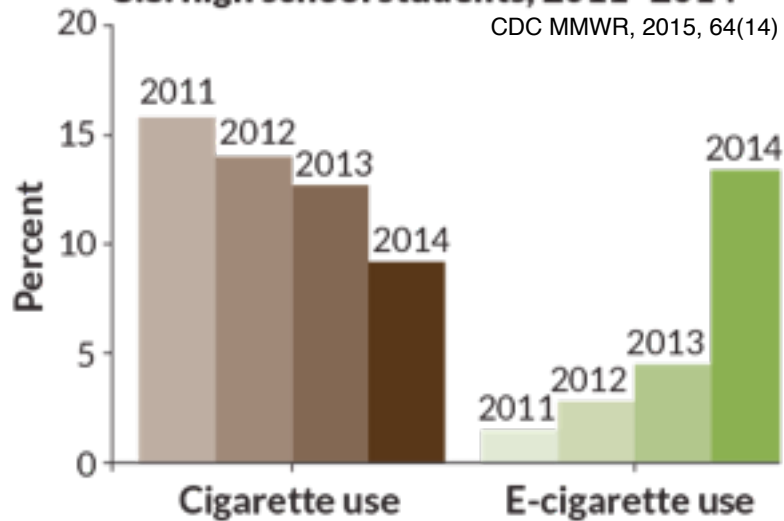
Not seen for breast cancer

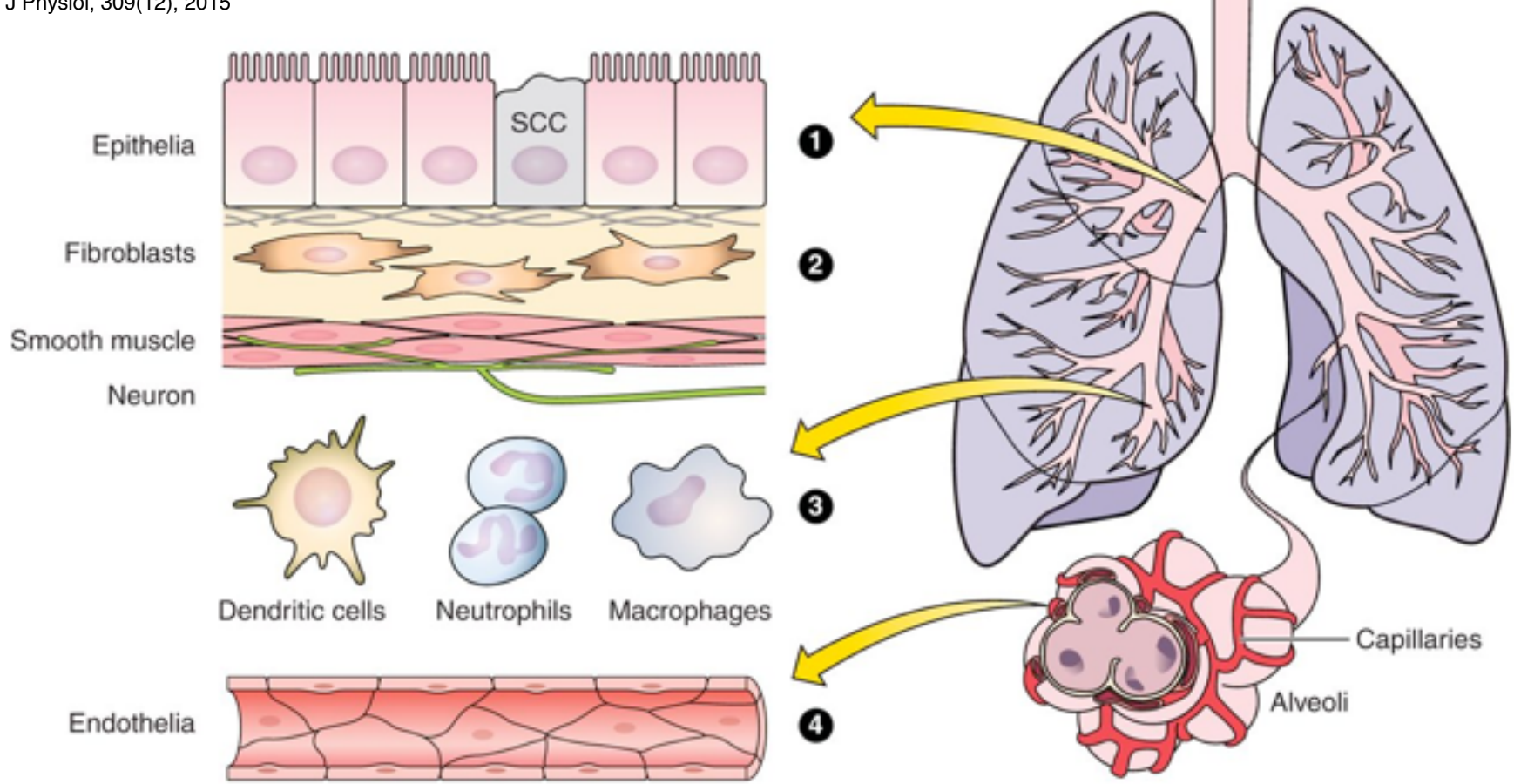
Oxygen-driven tumorigenesis?

# Epidemiology: E-cigarettes / vaping

Cigarette and e-cigarette use among U.S. high school students, 2011-2014

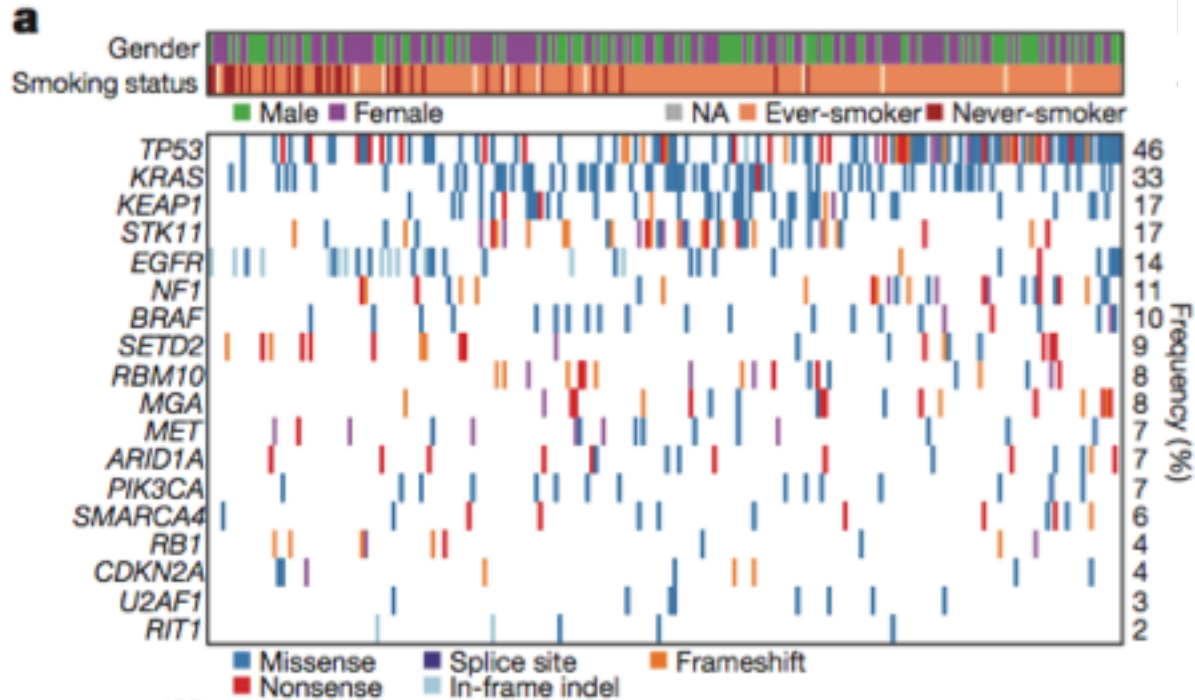
CDC MMWR, 2015, 64(14)





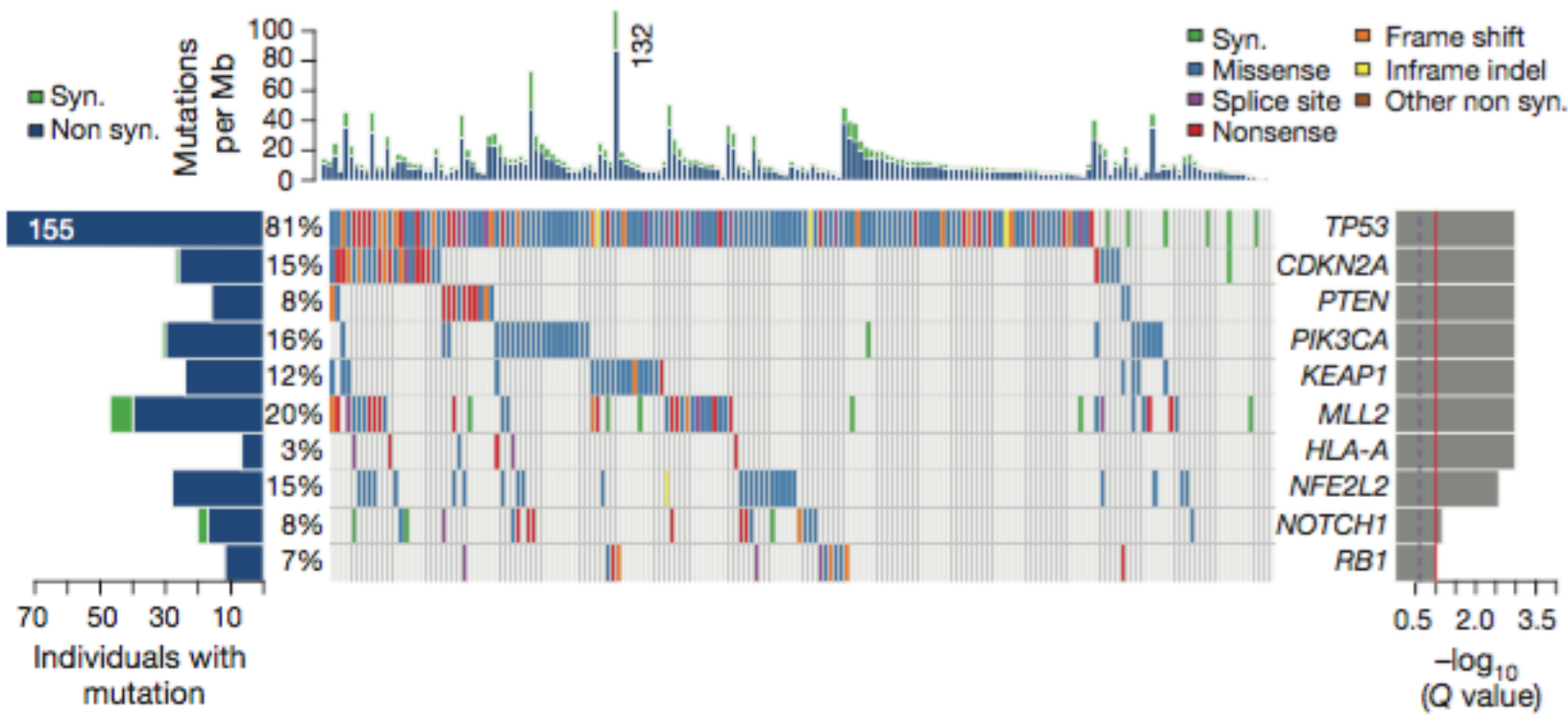
Current data for the effects of E-cigarettes/E-liquids on the lung	
Tissue/cell type	Effects
(1) Epithelia	↑ Cytotoxicity <sup>[31]</sup> , ↓ Cell viability <sup>[31]</sup> , ↑ Inflammation <sup>[94,159]</sup> , ↑ Infection <sup>[159]</sup>
(2) Fibroblasts	↑ Cytotoxicity <sup>[10,94]</sup> , ↓ Cell viability <sup>[10,94]</sup> , Altered morphology <sup>[94]</sup>
(3) Inflammatory cells (BALF)	↑ Macrophages <sup>[142]</sup> , ↑ Cytokine secretion <sup>[31]</sup> , ↑ Infection <sup>[159]</sup>
(4) Endothelia	↓ Cell viability <sup>[133]</sup> , ↓ Electrical resistance <sup>[133]</sup>

# Genetic alterations in lung cancer



Lung adenocarcinoma

Cancer Genome Atlas; Nature 511:543, 2014

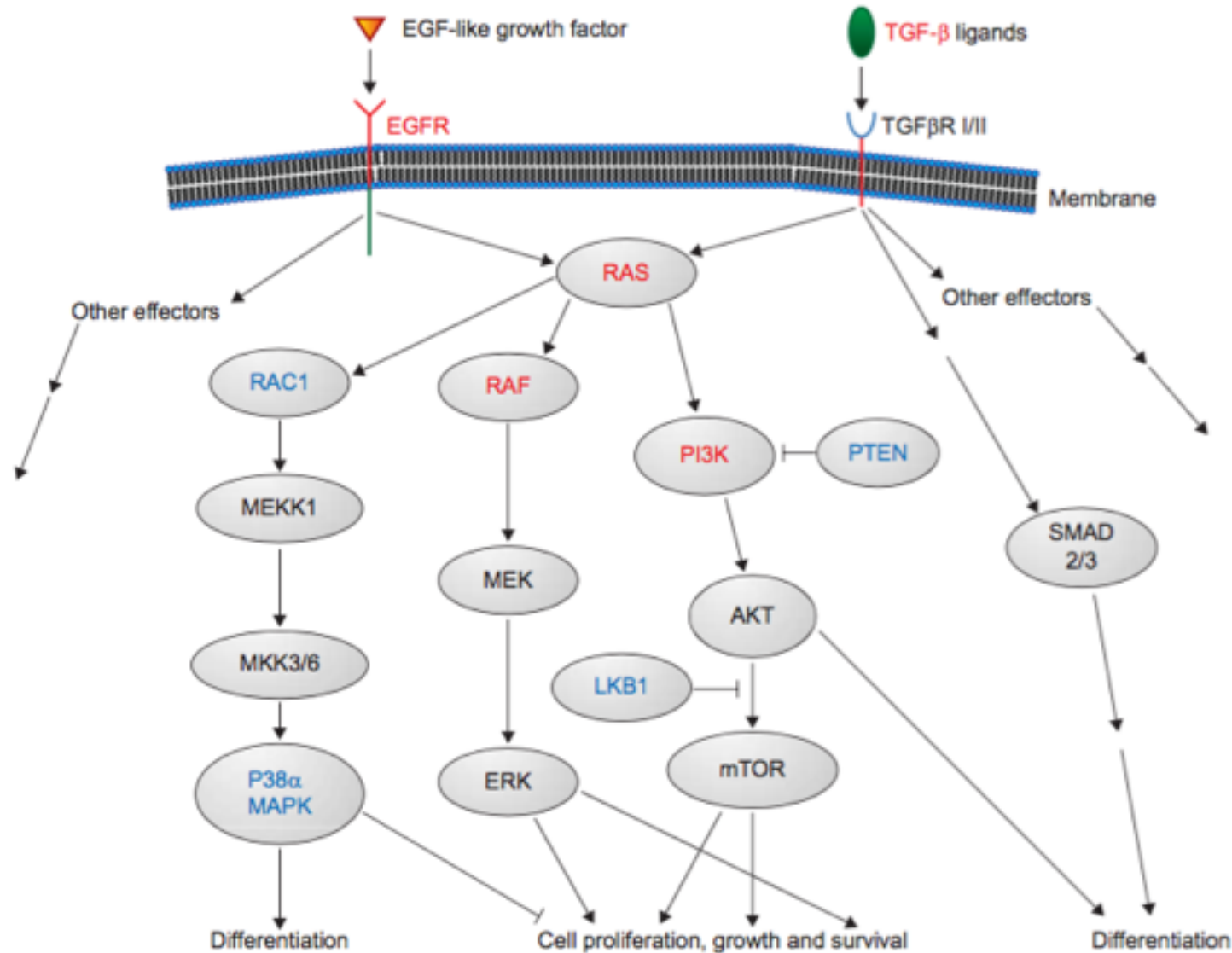


Lung squamous cell carcinoma  
 Cancer Genome Atlas; Nature 598:520, 2012



# Animal models of lung cancer

Major lung cancer-associated signaling pathways



## **Spontaneous/treatment-induced mouse models of lung cancer**

### Spontaneous

~3% of wild mice

100% of A/J strain mice (*KRAS* polymorphism)

### Treatment-induced

Cigarette smoke: very weak

Cigarette smoke constituents: stronger

Model	Strain	Carcinogen	Tumor
Mouse AD/ADC	A/J	B (a)P, i.p. 100 mg/kg	20 w: 8-10 tumors (AD), 100% incidence (20, 21)
		B (a)P, i.g. 100 mg/kg (3X)	
	A/J	NNK, i.p. 100 mg/kg	20 w: 6-8 tumors (AD), 100% incidence (20, 22, 23) 52 w: 15 tumors (95% AD, 5% ADC), 70-80% incidence (ADC)
	A/J	Urethane, i.p. 1 g/kg	16 w: 20-25 tumors (AD) (21, 24-26)
	A/J	Vinyl carbamate, i.p. 60 mg/kg	24w: 25 tumors (AD), 12% incidence (ADC) 52 w: 30% incidence ADC (27, 28)
	Swiss albino - newborn	Main-stream cigarette smoke, 120 days	26-33w: 6-14 tumors (AD), 80% incidence (AD), 5-20% incidence (ADC) (29)
	A/J	Main- and side-stream cigarette smoke, 5 mos smoke + 4 mos air	3 tumors (AD) vs 1 spontaneous tumor (AD) (30)
	B6C3F1	Mainstream cigarette smoke, lifetime	10X increase in hyperplasia, 4.6X AD and papilloma, 7.3X ADC, 5X metastatic pulmonary ADC (31)
Rat AD/ADC	F344	NNK, s.c. 1.5 mg/kg (3X, 20 w)	98w: 67% incidence (AD), (33% ADC) (32)
	F344	Mainstream cigarette smoke, up to 30 months	Incidence increased from 0% in control to 6% (light smoke) to 14% (heavy smoke) (33)
Mouse squamous	Swiss – 8 w	NTCU, 3 µmol, 2x week (22 w)	24w: 50% hyper/metaplasia, 10% CIS/SCC (34)

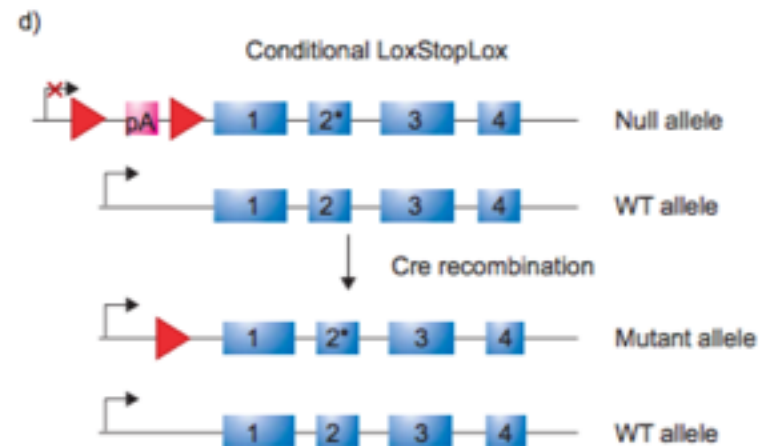
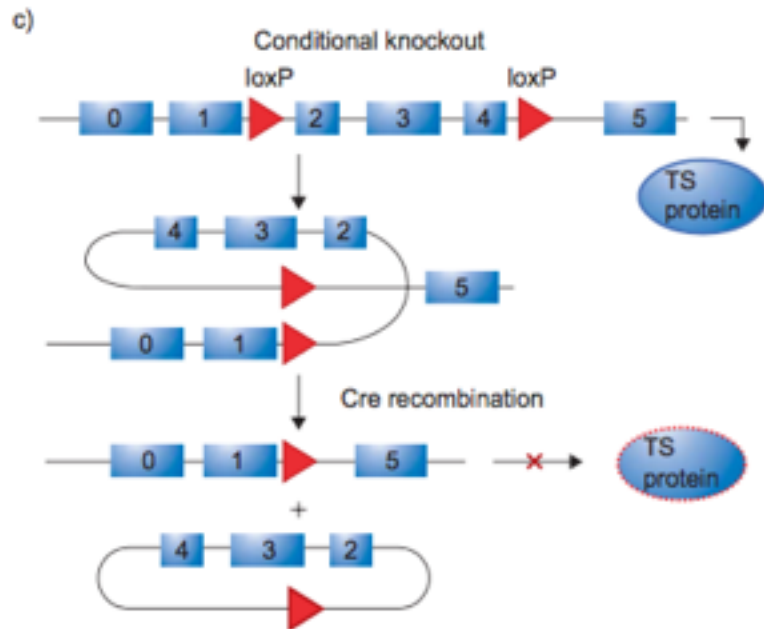
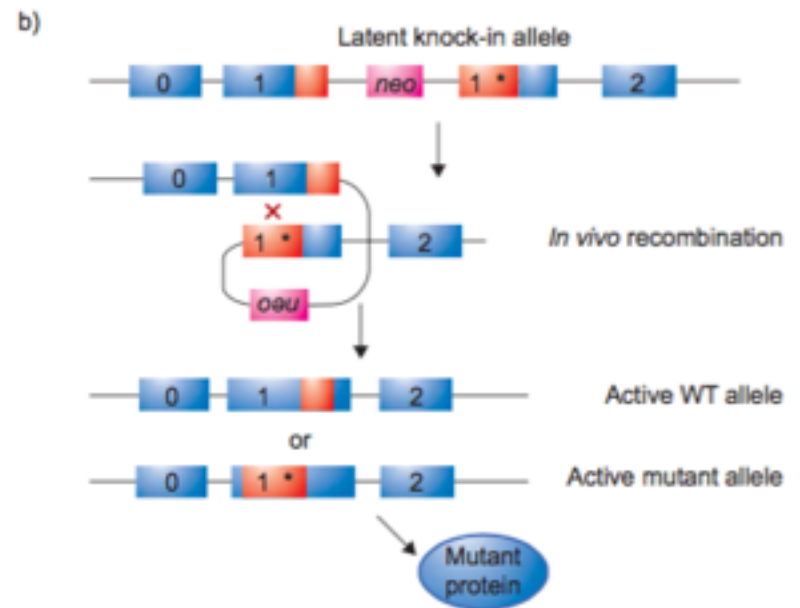
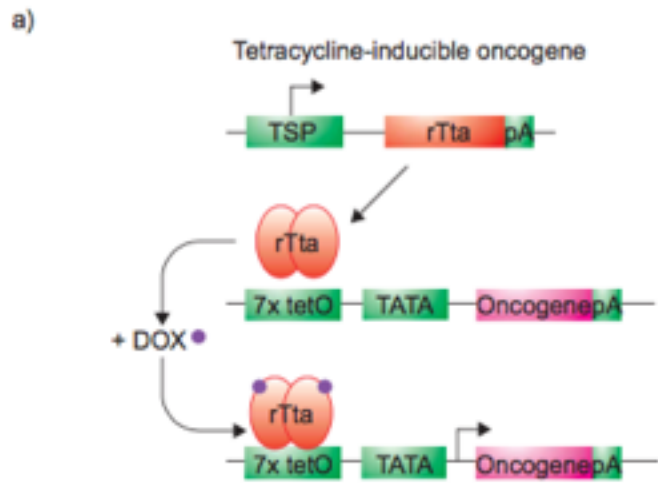
i.p. = intraperitoneal, i.g. = intragastric, i.t. = intratracheal, AD = adenoma, ADC = adenocarcinoma

Benzo(a)pyrene = B(a)P

4- (methylnitrosamino)-1- (3-pyridyl)-1-butanone = NNK

N-nitroso-tris-chloroethylurea = NTCU

# Systems for genetically engineering mouse models



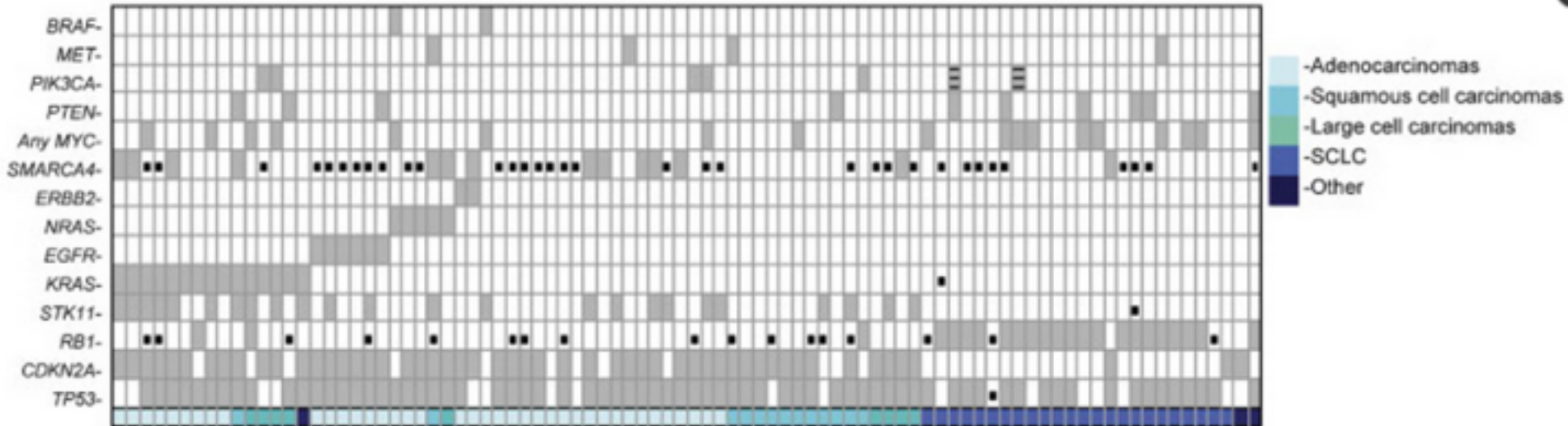
Model type	Phenotype
<b>Transgenic</b>	
CC10-Tag and Sp-C-Tag	Multifocal bronchioloalveolar hyperplasias develop into mixed solid and papillary adenocarcinomas
K5-E6/E7 <sup>+</sup>	Adenocarcinomas
MMTV-TGF- $\beta$ 1 DN <sup>+</sup>	Adenocarcinomas
MMTV-RAR $\beta$ 4 <sup>+</sup>	Alveolar hyperplasia
CC10-Tag; CC10-hASH1	Adenocarcinomas with focal NE differentiation
CC10-hASH1	Bronchial hyperplasia
CC10-hK14	Squamous differentiation hyperplasia with occasional squamous metaplasia
Sp-C-IgEGF	Alveolar hyperplasia
Sp-C-cMyc; SpC-IgEGF	Bronchioloalveolar adenocarcinomas
Sp-C-cMyc	Mixed bronchioloalveolar adenomas and adenocarcinomas
Sp-C-cRaf-1	Adenomas
MMTV-RAR $\beta$ 2 <sup>+</sup>	Adenomas and adenocarcinomas
CC10-cMyc	Bronchioloalveolar hyperplasia
CGRP-H-Ras	NE hyperplasia and non-NE adenocarcinomas
<b>Conditional transgenic</b>	
Using Cre/lox system <sup>1</sup> $\beta$ -actin-lox GFP lox-K Ras <sup>V12</sup> -IRES-hPLAP	Alveolar hyperplasia, adenomas and adenocarcinomas
Lox-stop-lox-K Ras <sup>G12D</sup> <sup>2</sup>	Epithelial hyperplasia of bronchioles, adenomatous hyperplasia, adenomas, both solid and papillary adenocarcinomas
<b>Doxycycline regulatable</b>	
CC10-rTA; (tetO <sub>2</sub> )CMV-FGF7	Epithelial cell hyperplasia and adenomatous hyperplasia
CC10-rTA; (tetO <sub>2</sub> )CMV-K Ras <sup>G12D</sup>	Bronchogenic adenocarcinomas. Phenotype is completely reversible upon Dox removal
CC10-rTA; (tetO <sub>2</sub> )CMV-K Ras <sup>G12D</sup> in a Trp53 <sup>-/-</sup> or Ink4a <sup>+/+</sup> background	Bronchogenic adenocarcinomas. Phenotype is completely reversible upon Dox removal
CCSP-rTA; TetO <sub>2</sub> PIK3CA(H1047R)	Adenocarcinomas
CCSP-rTA; TetO <sub>2</sub> -BRAFV600E	Mixed adenocarcinoma and bronchioalveolar carcinoma
SP-C-rTA; TetO7-Cre; Pten <sup>F/F</sup>	Impaired alveolar epithelial differentiation and hyperplasia
CCSP-rTA; Tet-O <sub>2</sub> -hEGFR <sup>L858R</sup>	Adenocarcinoma with bronchioalveolar carcinoma features
CCSP-rTA; Tet-O <sub>2</sub> -hEGFR <sup>CE3L</sup>	Local invasive adenocarcinoma after longer incubation
CCSP-rTA; Tet-O <sub>2</sub> -hEGFR <sup>CE3L</sup>	Adenocarcinoma with bronchioalveolar carcinoma features
Spontaneous activatable knock-in latent allele K <sup>RasG12D LA</sup>	Local invasive adenocarcinoma after longer incubation but with longer latency
K Ras <sup>G12D LA</sup> in Trp53 <sup>-/-</sup> background	Epithelial hyperplasia of bronchioles, adenomatous hyperplasia, adenomas, both solid and papillary adenocarcinomas
K Ras <sup>G12D LA</sup> ; TGF- $\beta$ <sup>+/+</sup>	Epithelial hyperplasia of bronchioles, adenomatous hyperplasia, adenomas, both solid and papillary adenocarcinomas above but with shorter latency
<b>Conditional knockout</b>	
Using Cre/lox system	
Trp53	Adenocarcinomas
Rb <sup>F/F</sup> ; Trp53 <sup>F/F</sup>	NE hyperplasia, SCLC with metastases
Compound conditional knock out and transgenes	Phenotypes compared with LSLKrasG12D control
Spry-2 <sup>F/F</sup> ; LSLKras <sup>G12D</sup>	Increased number of adenocarcinoma
Rac1 <sup>F/F</sup> ; LSLKras <sup>G12D</sup>	Adenoma formation with long latency
Plk3 <sup>2/2</sup> ; Plk3r1 <sup>F/F</sup> ; LSL Kras <sup>G12D</sup>	Strong decrease of adenoma and adenocarcinoma formation
Dicer <sup>F/F</sup> ; LSLKras <sup>G12D</sup>	Increased number of adenocarcinoma
Trp53 <sup>F/F</sup> ; LSLKras <sup>G12D</sup>	Progressed adenocarcinoma with lymph node metastases
Trp53 <sup>LSL R172H/+</sup> ; LSLKras <sup>G12D</sup>	Idem
Trp53 <sup>LSL R270H/+</sup> ; LSLKras <sup>G12D</sup>	Idem but with higher penetrance
Lkb1 <sup>F/F</sup> ; LSLKras <sup>G12D</sup>	Shorter latency with mixed adenocarcinoma/squamous cell carcinoma with occasional large cell carcinoma; frequent metastases
CC10-Cre; Pten <sup>F/F</sup> ; LSLKras <sup>G12D</sup>	Bronchioalveolar lesions followed by adenocarcinoma with local invasion and stromal interactions
Rb <sup>F/F</sup> ; p130 <sup>F/F</sup> ; LSLKras <sup>G12D</sup>	Shorter latency and higher number of adenocarcinoma
	No neuroendocrine tumours

# Lung cancer cell lines

Human lines >> mouse

NCI-H1703	Human	Lung	Adenocarcinoma, non-small cell
NCI-H2135	Human	Lung	Cancer, non-small cell lung
NCI-H2172	Human	Lung	Cancer, non-small cell lung
NCI-H2444	Human	Lung	Cancer, non-small cell lung
NCI-H835	Human	Lung	Carcinoid
UMC-11	Human	Lung	Carcinoid
NCI-H720	Human	Lung	Carcinoid, atypical
<u>A549</u>	Human	Lung	Carcinoma
A-427	Human	Lung	Carcinoma
NCI-H596	Human	Lung	Carcinoma, adenosquamous
SW 1573	Human	Lung	Carcinoma, alveolar cell
NCI-H1688	Human	Lung	Carcinoma, classic small cell lung cancer
NCI-H1417	Human	Lung	Carcinoma, classic small cell lung cancer
NCI-H1672	Human	Lung	Carcinoma, classic small cell lung cancer
NCI-H2227	Human	Lung	Carcinoma, small cell lung cancer
NCI-H1963	Human	Lung	Carcinoma, small cell lung cancer
SHP-77	Human	Lung	Carcinoma, small cell lung cancer, large cell, variant
NCI-H2170	Human	Lung	Carcinoma, squamous cell
NCI-H520	Human	Lung	Carcinoma, squamous cell
SW 900	Human	Lung	Carcinoma, squamous cell
NCI-H358	Human	Lung	Carcinoma, bronchioalveolar, non-small cell
NCI-H727	Human	Lung	Carcinoid
LA-4	Mouse	Lung	Adenoma
LL/2 (LLC1)	Mouse	Lung	Carcinoma, <u>Lewis lung</u>
KLN 205	Mouse	Lung	Carcinoma, squamous cell

# Genetic alterations in lung cancer cell lines

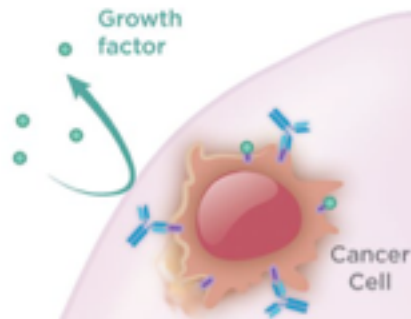


Human Mut, 30(8), 2009

# Lung cancer immunotherapy

## 1 Monoclonal Antibodies

■ Growth factor receptor    Y Antibody



## 2 Immune Checkpoint Blockade

■ MHC-TCR complex

■ Inhibitory complex

■ Cytotoxic granules

T-cell

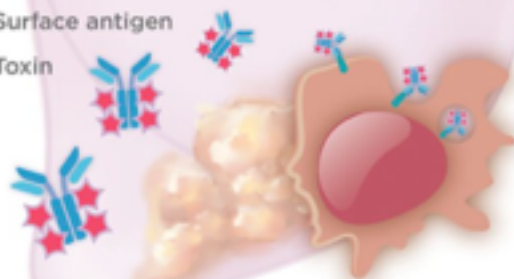
⊖

⊕  
Cytotoxic T-cell

## 3 Immunotoxin Therapy

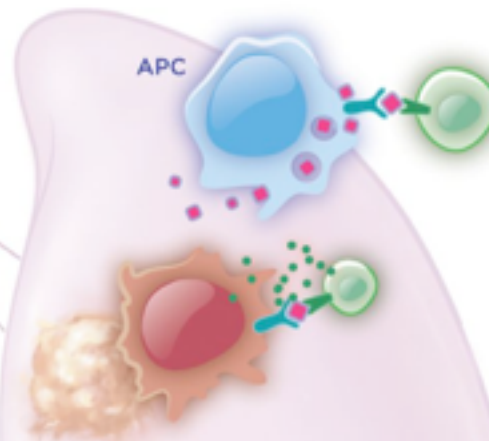
■ Surface antigen

★ Toxin



## 4 Anticancer Vaccines

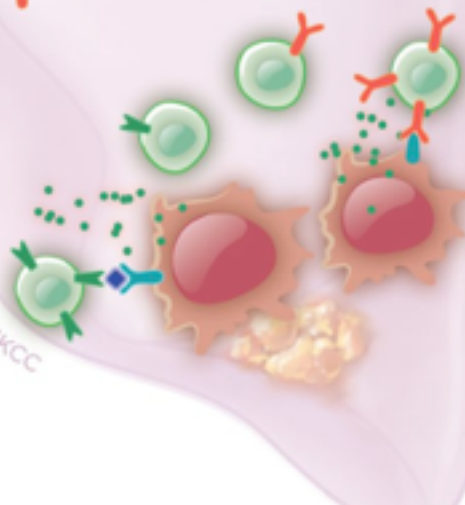
◆ Antigenic peptides



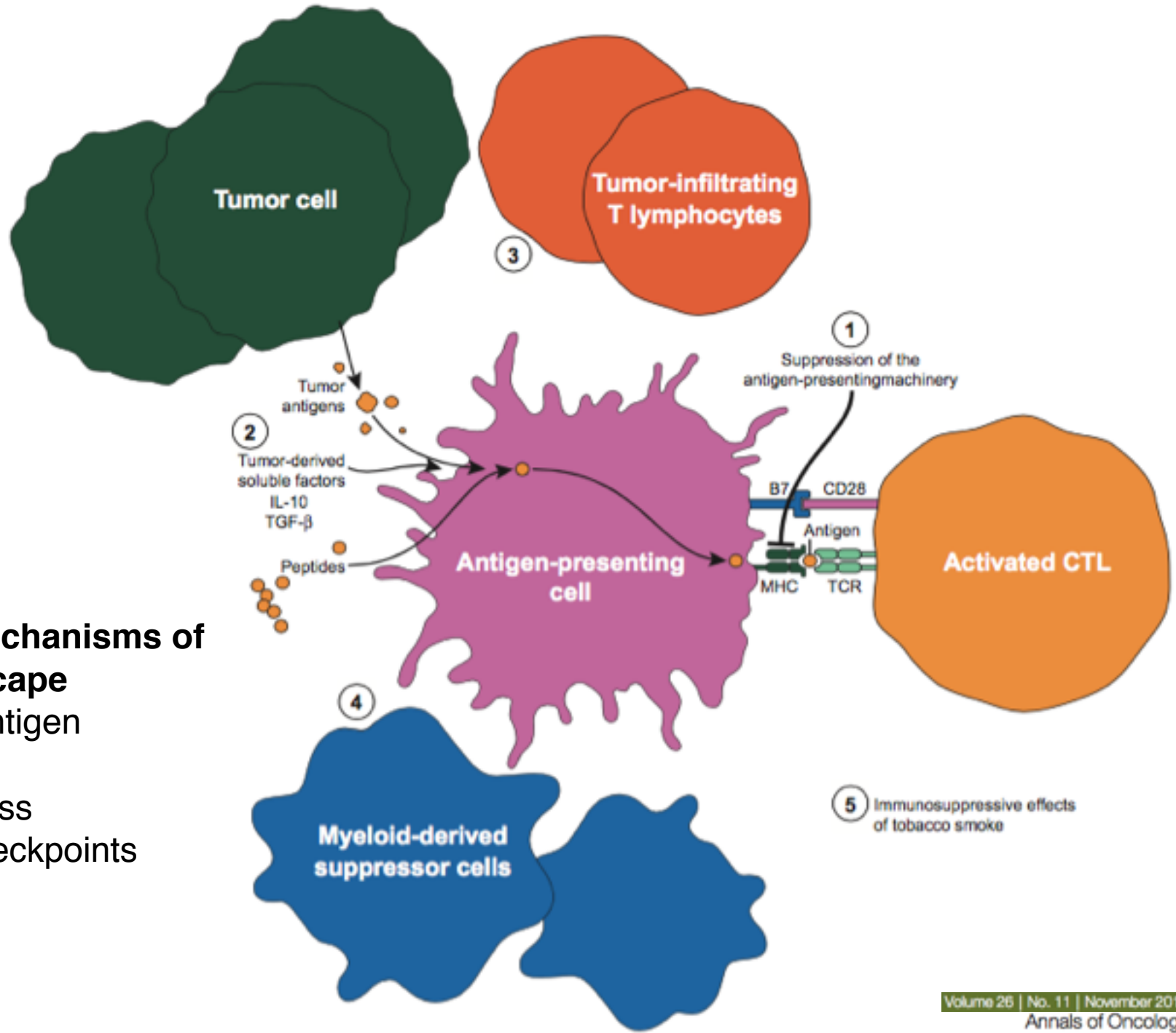
## 5 Adoptive T-cell Therapy

Y Chimeric antigen receptor

2015©MSKCC







## Tumoral mechanisms of immune escape

- Reduced antigen presentation
- Antigenic loss
- Immune checkpoints

**Table 1:** Monoclonal antibodies: ongoing clinical trials

	Phase	n	Comments	Ref.	Representative clinical trials
<b>EGFR-targeted antibodies</b>					
Cetuximab	Completed Phase II and Phase III	6	Modest benefit when used in combination with chemotherapy in first-line treatment of NSCLC; ongoing investigations into the efficacy of combination therapy with other chemo-immunotherapeutic or radiotherapy regimens	[1, 2]	NCT00408499, NCT00533949, NCT00673738, NCT01090011, NCT01451632, NCT00397384
Necitumumab	Ongoing Phase II and III	7	Results from the SQUIRE trial presented at American Society of Clinical Oncology 2014 Annual Meeting showed improvements in OS in patients treated with necitumumab plus chemotherapy; ongoing investigations into efficacy in combination therapy	[3, 4]	NCT01763788, NCT02411591, NCT00981058, NCT00982111, NCT02392507, NCT01769391, NCT01788566
<b>c-MET blocking antibodies</b>					
Onartuzumab	Ongoing Phase II and III	5	A Phase III trial in combination with erlotinib for MET-positive NSCLC stopped due to futility	[6]	NCT01887886, NCT01519804, NCT02031744, NCT01456325, NCT01496742
Ficlatuzumab	Ongoing Phase II	1	Ongoing trial in combination with chemical inhibition of EGFR in EGFR mutant NSCLC	[9]	NCT02318368
<b>VEGF-targeted antibodies</b>					
Bevacizumab	Completed Phase II, III; ongoing Phase II, III, IV	48	Evaluation in combination chemotherapy, vaccine and radiation regimens	[10–12]	NCT02054052, NCT00324805
Ramucirumab	Completed Phase II; ongoing Phase III	4	Preliminary results from ongoing Phase III trial have demonstrated increases in OS and PFS	[14]	NCT01168973, NCT01703091, NCT01160744, NCT02411448

c-MET: MET receptor; EGFR: epidermal growth factor receptor; NSCLC: non-small-cell lung cancer; VEGF: vascular endothelial growth factor; OS: overall survival; PFS: progression-free survival.

**Table 1.** Phase II and phase III studies of selected antigen-specific immunotherapeutic approaches in nonsmall-cell lung cancer

Investigational agent	Phase of study	N	Patients	Primary end point	Primary end point outcome		Significance of differences between treatment group and control group
					Treatment group	Control group	
Tecemotide (MUC-1 epitope)	Randomized phase II (Butts and Maksymiuk et al. [12])	171	IIIB or IV NSCLC SD or OR after first-line chemotherapy or chemoradiation	OS	17.2 m	13 m	NS
	Randomized, double-blind placebo-controlled phase III (Butts and Socinski et al. [14])	1513	IIIA (T3, N2 only), IIIB and IV SD or OR after first-line chemotherapy or chemoradiation	OS	25.6 m	22.3 m	NS
Belagenpumatucel-L (4 irradiated cell-lines with TGF- $\beta$ 2 antisense)	Randomized, dose-variable phase II (Nemunaitis et al. [7])	75	II, IIIA, IIIB and IV; low tumor burden Completed conventional therapy	OS	Dose-related improvements in survival in three treatment arms <sup>a</sup>	NA	No control arm
	Randomized, double-blind placebo-controlled phase III (Giaccone et al. [8])	532	IIIA (T3, N2 only), IIIB and IV SD or OR after primary platinum-based chemoradiotherapy	OS	20.3	17.8	NS
Melanoma-associated antigen-A3 vaccine (MAGE-A3 protein)	Randomized phase II (Vansteenkiste [15])	182	Completely resected IB/II MAGE-A3-expressing tumor	DFI	HR 0.74 (95% CI 0.44–1.20) $P = 0.107^b$	NA	NS
	Randomized, double-blind placebo-controlled phase III (release 2014)	2312	Completely resected IB, II, or IIIA MAGE-A3-expressing tumor	DFS	Not available	Not available	NS

# CIMAvax-EGF

- First therapeutic cancer vaccine for non-small cell lung cancer
- Immunization with EGF-*Neisseria* P24 protein (+adjuvant)
- Center of Molecular Immunology, Cuba (25 y to develop)
- Preventive?
- Available in Cuba
- Testing to begin in US, Japan, etc.

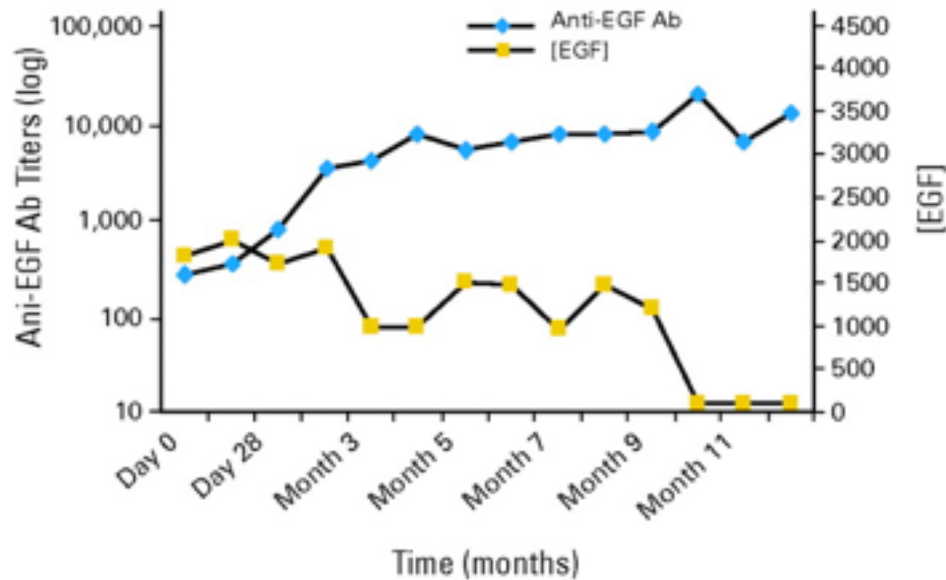
*Vaccinated*

*Control*

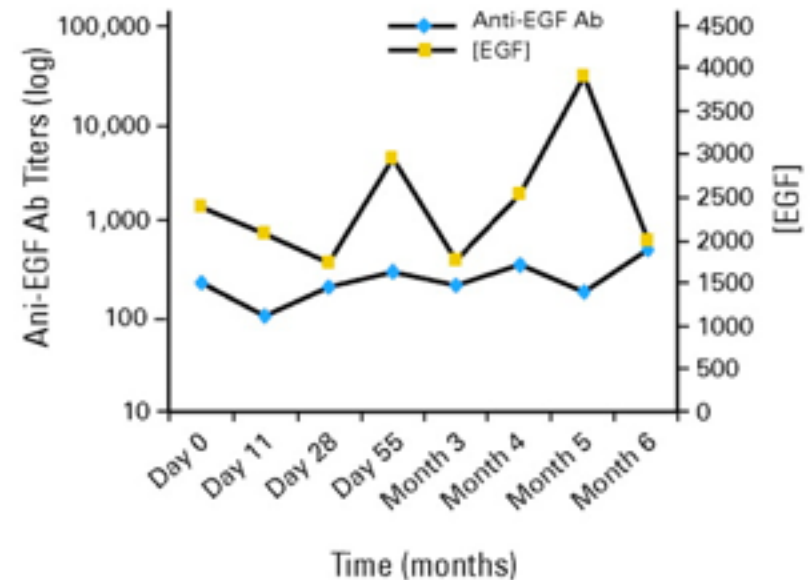
stage IIIB/IV NSCLC

JCO 26(9), 2008

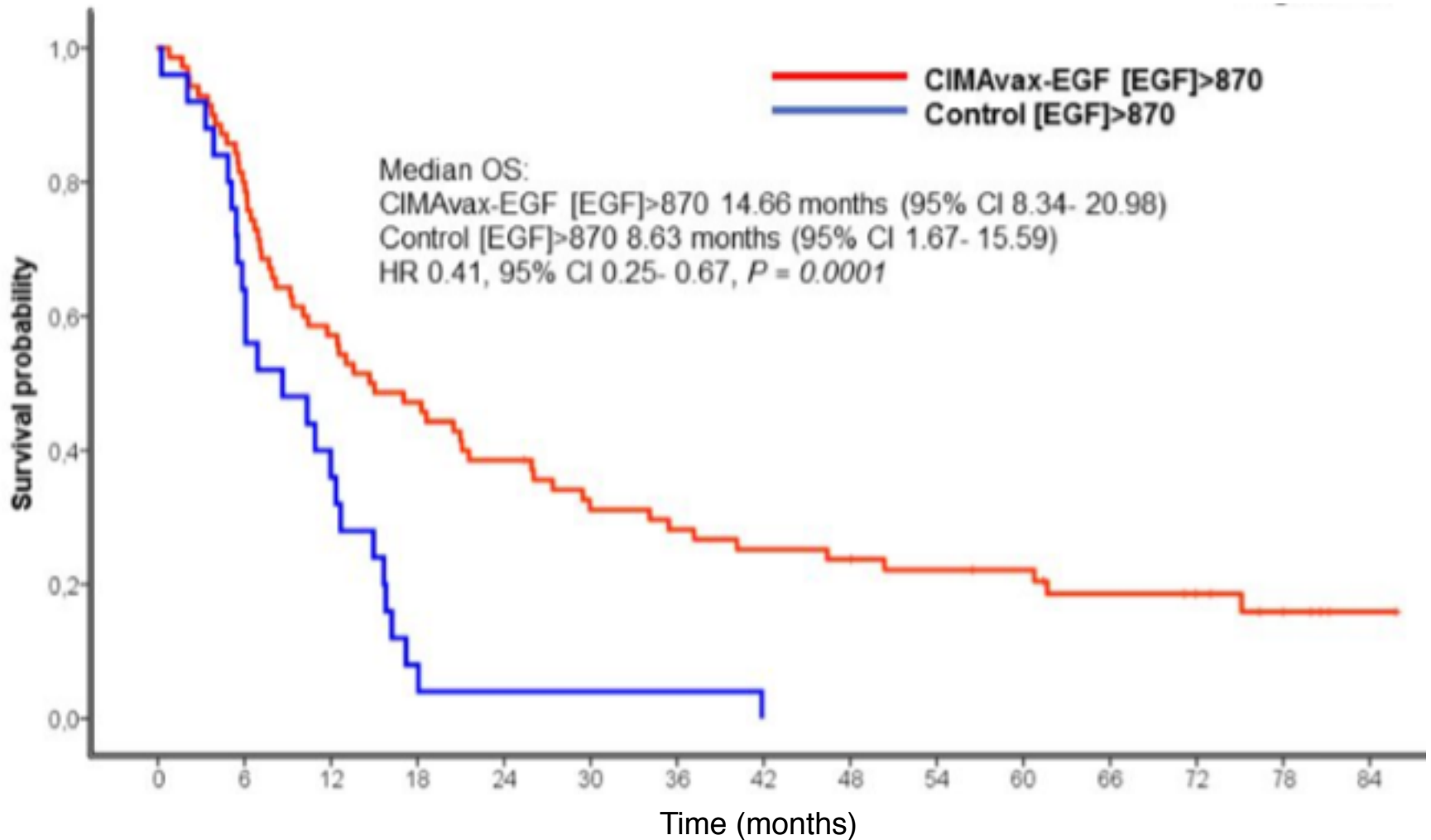
**A**



**B**



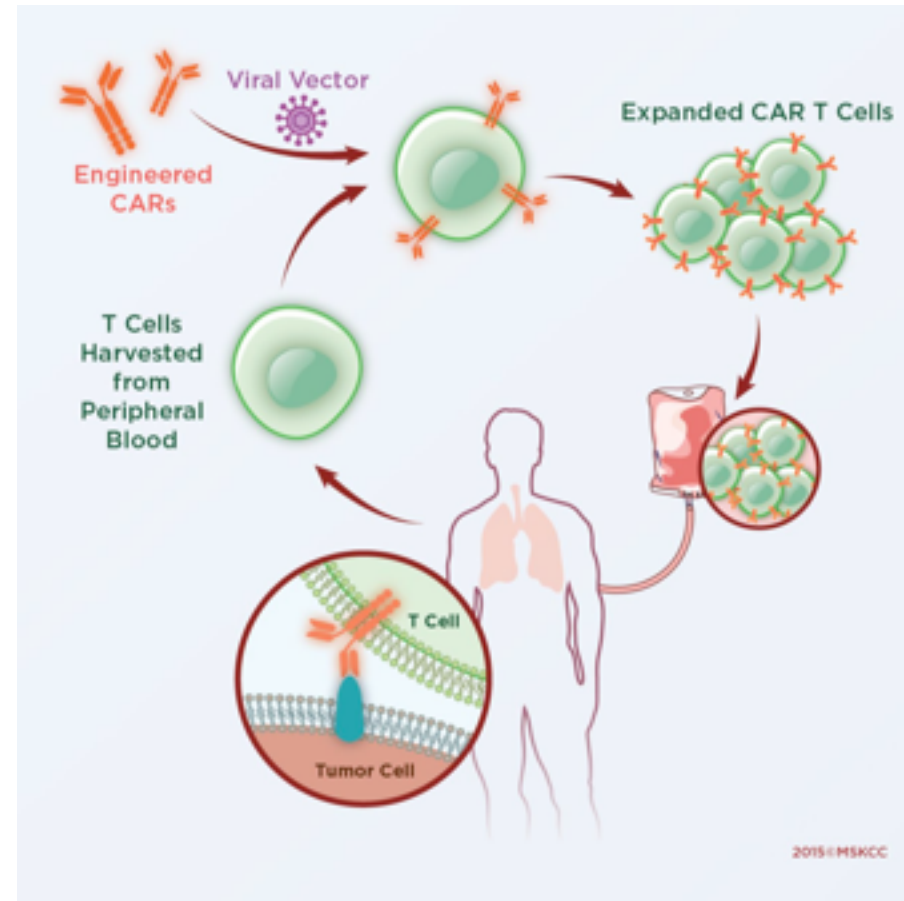
# Phase III, randomized trial Stage IIIB/IV NSCLC



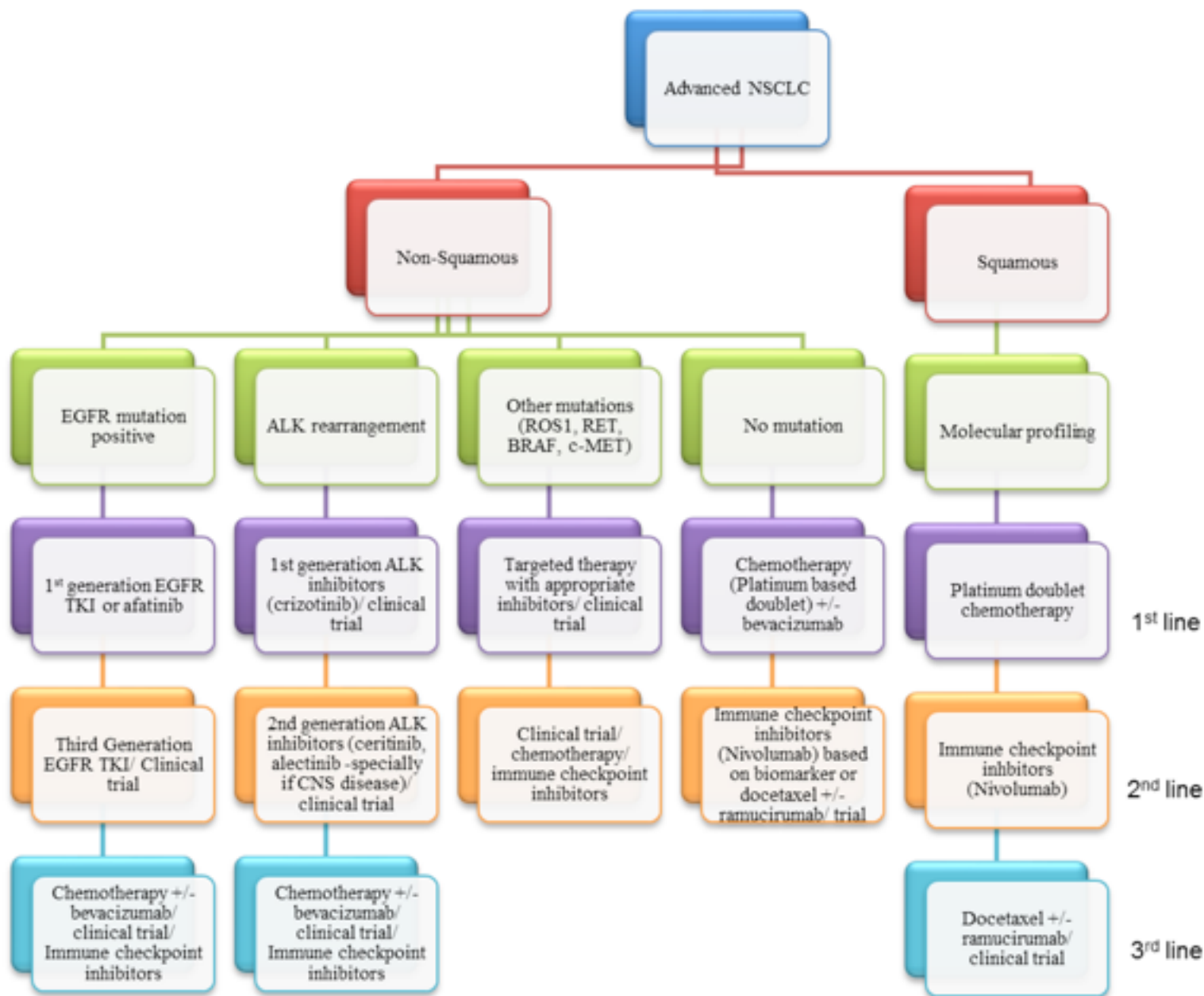
# Adoptive cell therapy

Isolate immune cells > engineer in vitro > inject

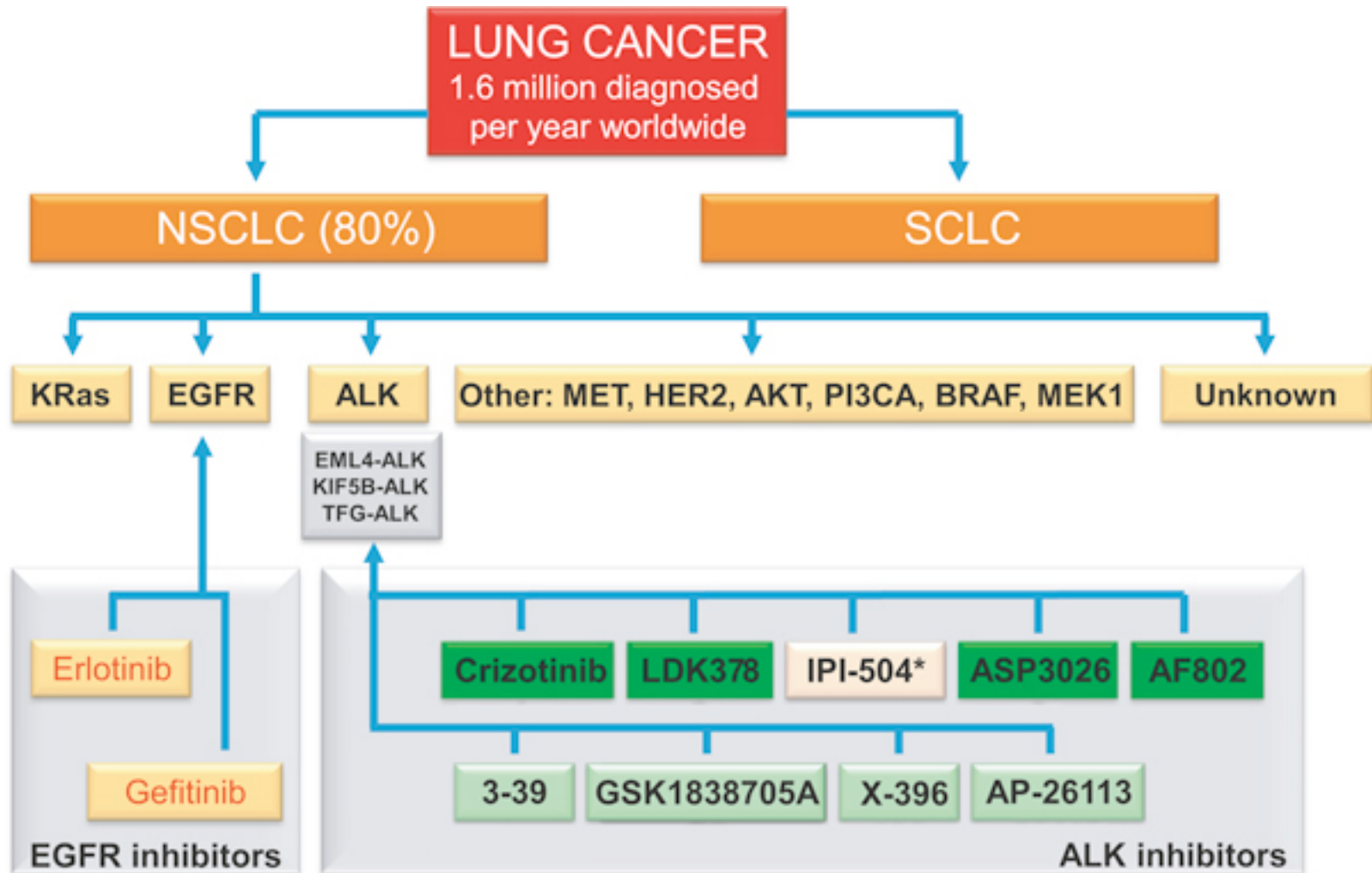
1. Introduce chimeric antigen T cell receptor (CAR) against cancer antigens
2. Expand killer or infiltrating cell populations



# Lung cancer therapy

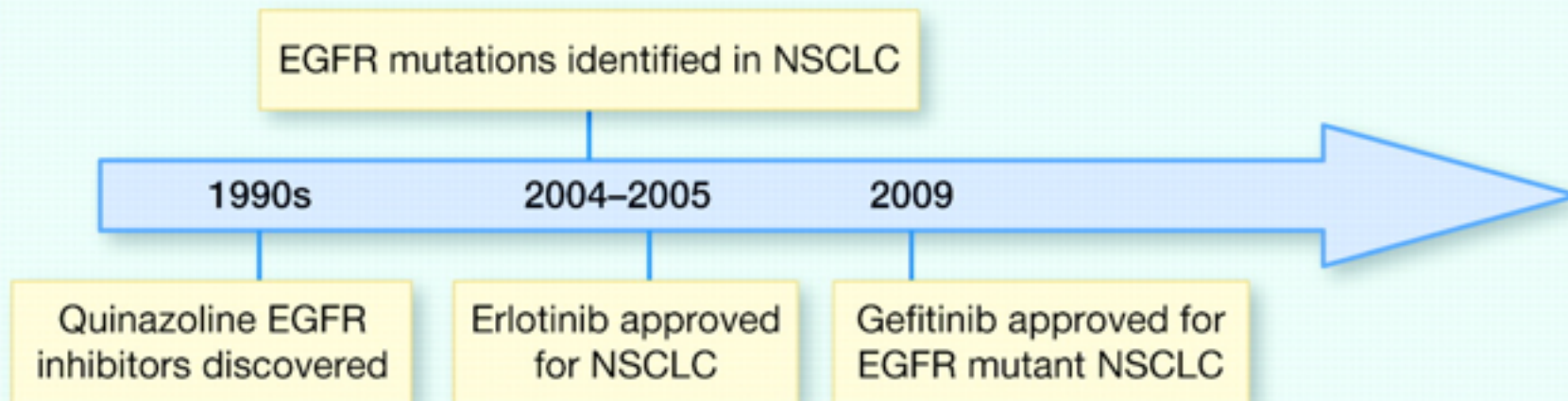


# Targeted therapies

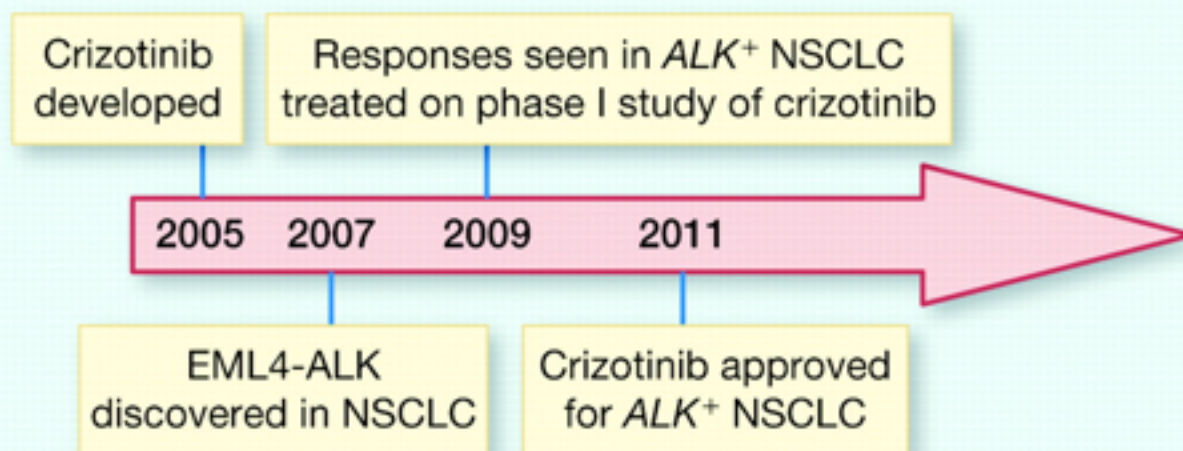




## EGFR Timeline



## ALK Timeline



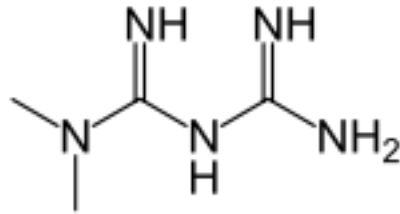
© 2012 American Association for Cancer Research

# Preventive medicines

Vitamins, anti-oxidant, anti-inflammatory, anti-lipid...

## Metformin

Biguanide



French lilac used against diabetes for centuries



Synthesized in 1920s

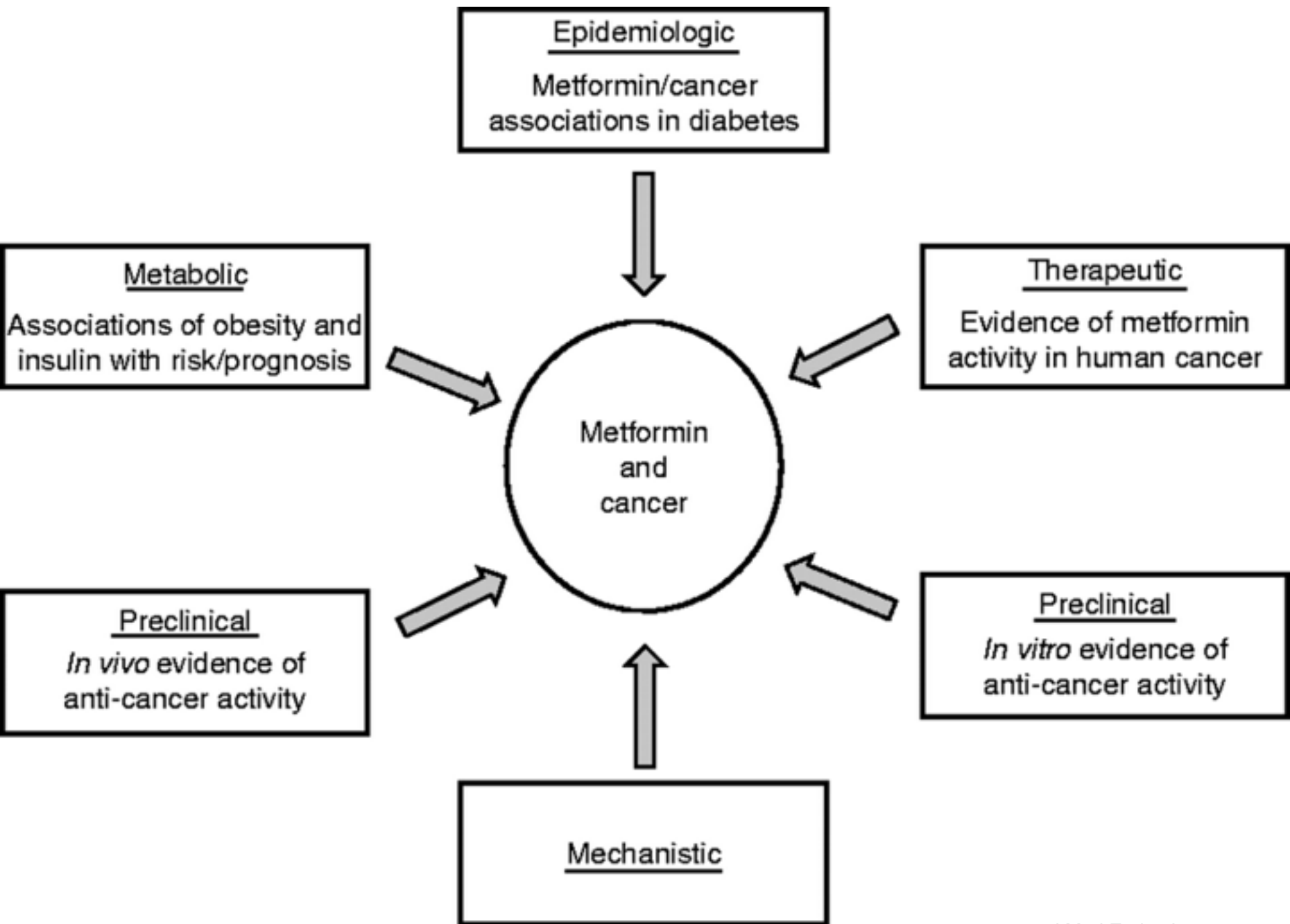
Hypoglycemic effect noticed in 1920s

Anti-diabetic trial 1957

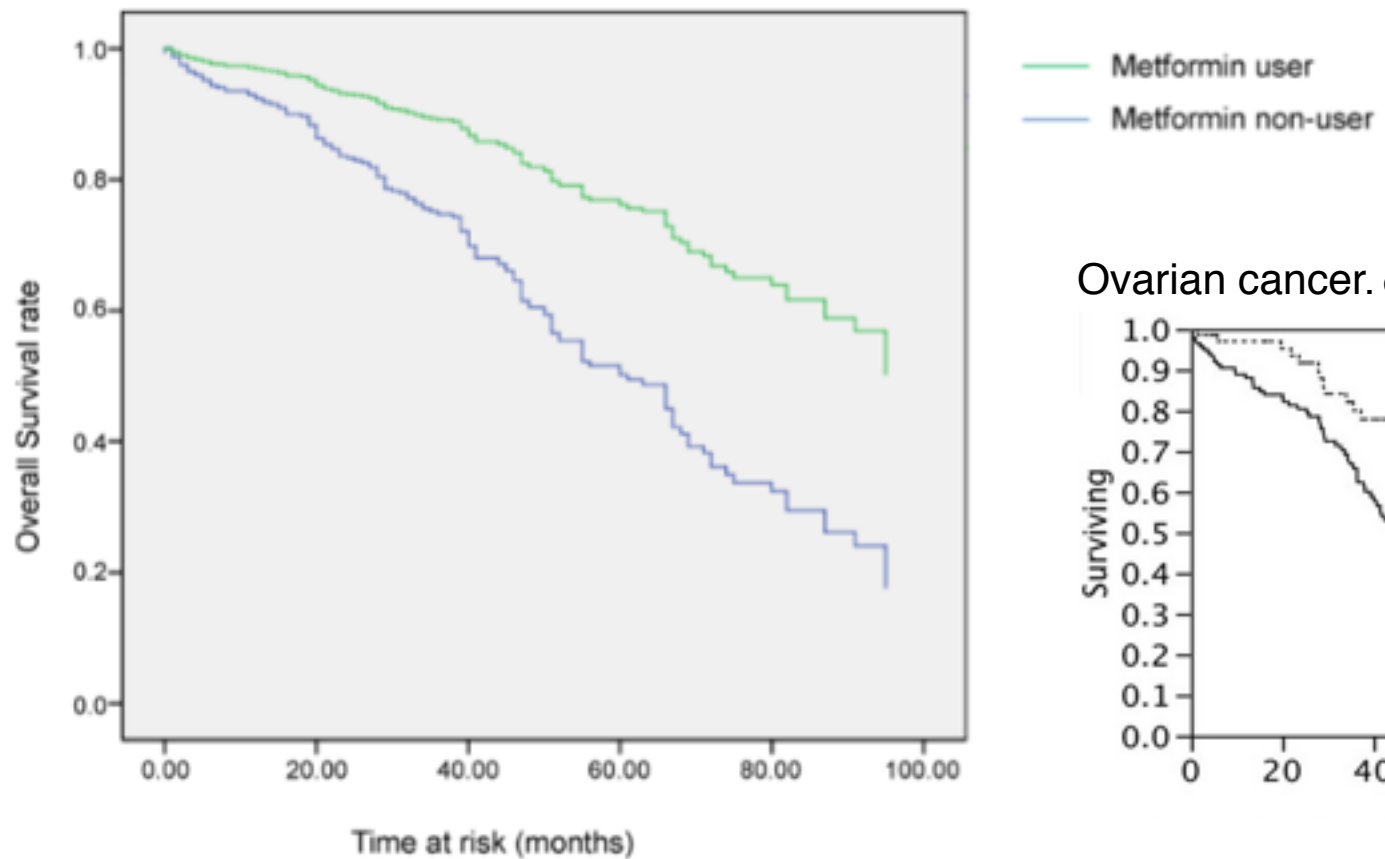
US use 1995

Now most widely used anti-diabetic

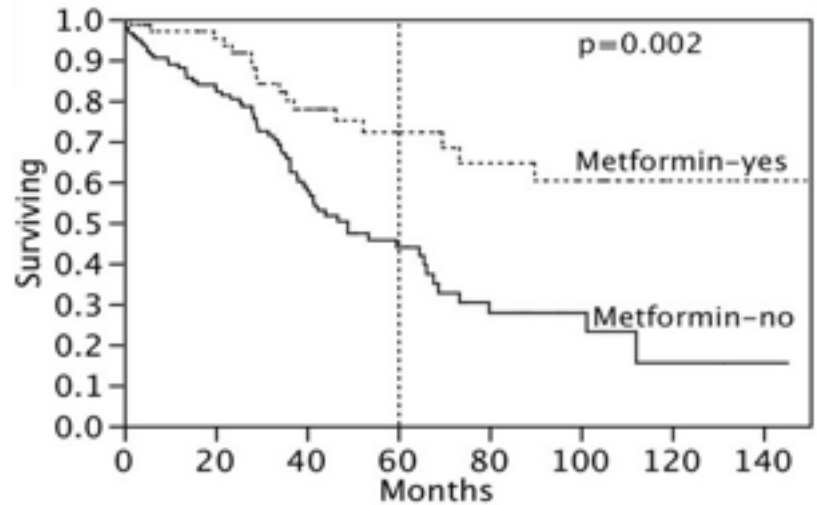
Metformin may reduce  
occurrence of cancer  
cancer mortality, recurrence,  
metastases...  
variety of cancers



# Metformin and survival after early-stage NSCLC

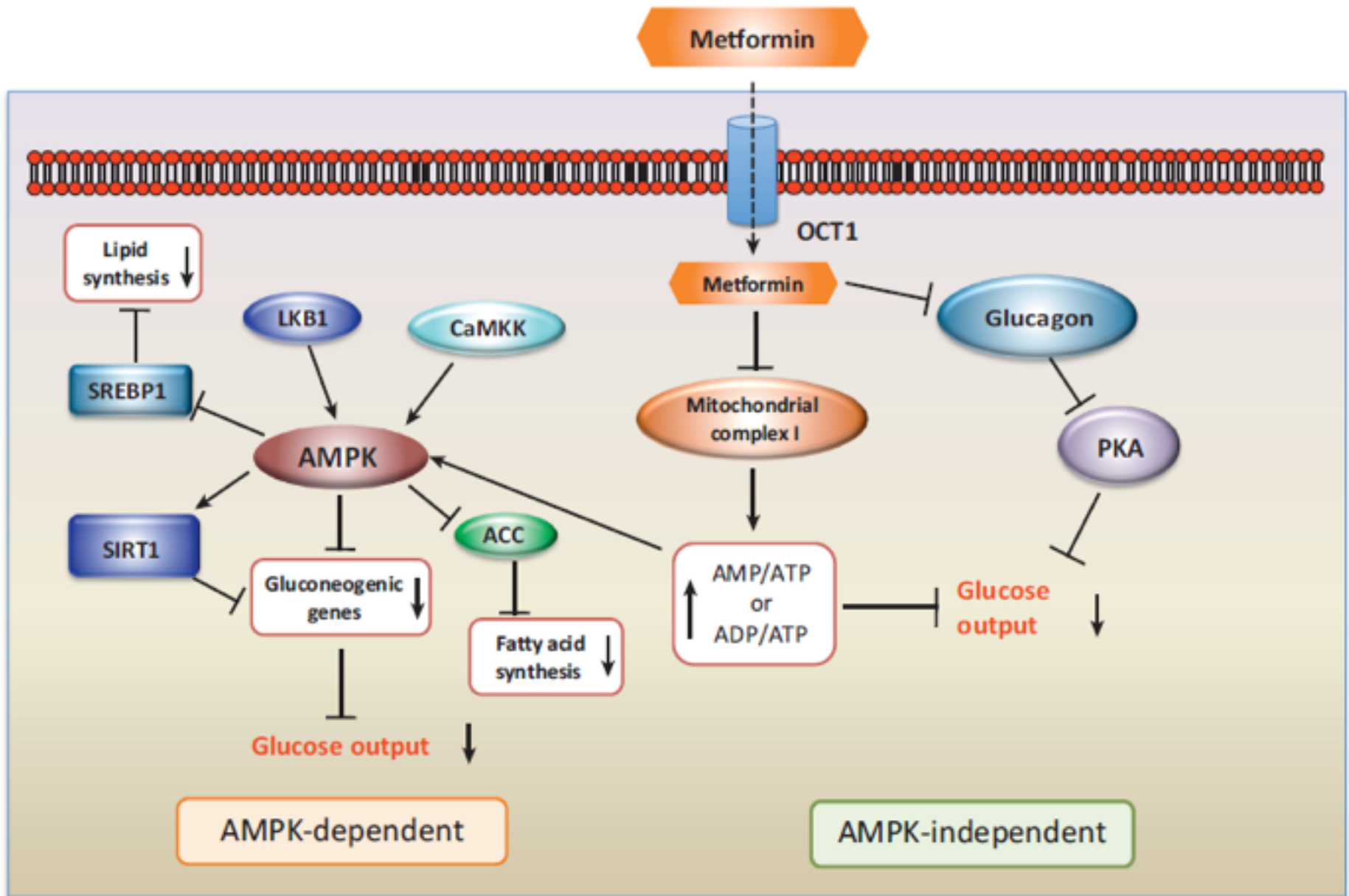


## Ovarian cancer. Cancer. 2013 Feb 1;119(3):555-62



**Figure 2.** Kaplan-Meier plot showing significantly better OS in patients on metformin after controlling for the effect of age, gender, race, stage, smoking and histology.

*J Cancer Sci Ther.* Author manuscript; available in PMC 2015 October 07.



Questions?